

EL 97716674305

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Foster et al.**

Serial No.: **Not Assigned**

Filed: _____

For: **Method, System, and Computer
Program Product for Managing
Persistent Data Across Different Releases**

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§ **Group Art Unit: Not Assigned**

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§ **Examiner: Not Assigned**

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§ **Attorney Docket No.: AUS920030474US1**

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

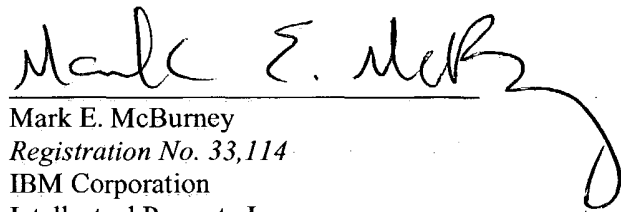
Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: 7-30-03

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Mark E. McBurney", with a long, sweeping horizontal line extending to the right.

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Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. AUS920030474US1		SERIAL NO. Not Assigned	
			APPLICANT Foster et al.			
			FILING DATE		GROUP ART UNIT Not Assign d	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE	
	AA 6,519,767 B1	Feb. 11, 2003	Carter et al.	717/140	Jun. 7, 1995	
	AB 6,415,435 B1	Jul. 2, 2002	McIntyre	717/108	Mar. 18, 1999	
	AC 6,385,768 B1	May 7, 2002	Ziebell	717/121	Sep. 30, 1999	
	AD 6,336,217 B1	Jan. 1, 2002	D'Anjou et al.	717/11	Dec. 30, 1998	
	AE 6,175,855 B1	Jan. 16, 2001	Reich et al.	709/202	Nov. 12, 1997	
	AF 5,864,875	Jan. 26, 1999	Van Huben et al.	707/200	Dec. 6, 1996	
	AG 6,205,445 B1	Mar. 20, 2001	Tokuyama	707/10	Mar. 24, 1999	
	AH 6,430,455 B1	Aug. 6, 2002	Rebello et al.	700/105	Jan. 25, 1999	
	AI 2003/0097365	May 22, 2003	Stickler	707/100	Mar. 20, 2002	
	AJ 2003/0041304	Feb. 27, 2003	Numata et al.	715/513	Dec. 13, 2001	
	AK 2002/0100017	Jul. 25, 2002	Grier et al.	717/120	Apr. 24, 2001	
	AL 2002/0133804	Sep. 19, 2002	Sheedy	717/106	Jan. 17, 2001	
FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
OTHER PRIOR ART (including author, title, date, pertinent page, etc.)						
	AM	IBM Research Disclosure, "EC XML Parser for Parsing XML into Java Hashtable", August 2000, pp. 1477-1478.				
	AN	IBM Research Disclosure, "System and Method for Java Serialization Compatibility Using XML", March 2002, pp. 5167-5168.				
	AO	"Online Catalog, Applying RCS and SCCS", www.oreilly.com/catalog/rscs/toc.html, pp. 1-4.				
RELATED PATENT APPLICATIONS						
EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE			FILING DATE
DATE CONSIDERED			EXAMINER			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						